FORM PTO-1449  
(REV. 6-89)DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAttorney's Docket No.  
20735-05217Serial No.  
09/887,157

## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Applicant

Eng-Whatt Toh et al.

Filing Date

June 21, 2001

Group Art Unit

2131

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
TH	A	6,226,748	05/01/01	Bots et al.	713	201	06/12/97
TH	B	6,173,399	01/09/01	Gilbrech	713	153	06/12/97
TH	C	6,119,137	09/12/00	Smith et al.	707	523	01/30/97
TH	D	6,092,200	07/18/00	Muniyappa et al.	713	201	08/01/97
TH	E	6,092,113	07/18/00	Maeshima et al.	709	230	08/28/97
TH	F	6,079,020	06/20/00	Liu	713	201	01/27/98
TH	G	6,061,448	05/09/00	Smith et al.	380	21	04/01/97
TH	H	6,055,575	04/25/00	Paulsen et al.	709	229	01/26/98
TH	I	6,009,173	12/28/99	Sumner	380	21	01/31/97
TH	J	5,956,406	09/21/99	Maldy	380	30	03/20/97
TH	K	5,864,667	01/26/99	Barkan	395	187.01	08/22/97
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		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
TH	N	WO 98/57465	12/17/98	PCT	H04L	12/46 29/06	N/A	
TH	O	WO 98/57464	12/17/98	PCT	H04L	12/46 29/06	N/A	

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TH	P	Ellison, C. et al. Ten Risks of PKI: What You're not Being Told about Public Key Infrastructure. Computer Security Journal, Vol. XVI, No. 1 (2000), pages 1-7.
TH	Q	Introduction to SSL [online]. Netscape Communications Corporation (Last updated 10/09/98) [retrieved on 10/24/2000]. Retrieved from the Internet <URL: <a href="http://developer.netscape.com/docs/manuals/security/sslin/contents.htm">http://developer.netscape.com/docs/manuals/security/sslin/contents.htm</a> . Pages 1-11.
TH	R	Introduction to Public-Key Cryptography [online]. Netscape Communications Corporation [retrieved on 10/24/2000]. Retrieved from the Internet <URL: <a href="http://developer.netscape.com/docs/manuals/security/pkin/contents.htm">http://developer.netscape.com/docs/manuals/security/pkin/contents.htm</a> . Pages 1-19.

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PTO-1449

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**FORM PTO-1449**  
**(REV. 6-89)**

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Serial No. 09/887,157

**Applicant** **Eng-Whatt Toh, et al.**

Filing Date	Group Art Unit
June 21, 2001	2131

[illegible][illegible]

74	A	Lowry, John, "Location-Independent Information Object Security", Proceedings of the Symposium on Network and Distributed System Security, 1995 IEEE, pages 54-62, XP-002155116

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Sheet 1 of 5

FORM PTO-1449  
(REV. 6-89)U.S. DEPARTMENT OF COMMERCE  
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Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
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TH	C	4,868,877	9/19/89	Fischer	380	30	
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		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


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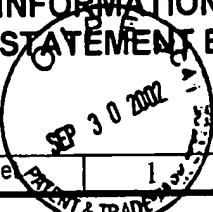
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> 		Application No.	09/887,157
		Filing Date	June 21, 2001
		First Named Inventor	Eng-Whatt Toh
		Art Unit	2132
		Examiner Name	Gilberto Barron Jr.
Sheet 1 of 1	Attorney Docket Number	20735-05217	

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Document No. Number - Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
<i>TM</i>	1	US-6,064,878 A	05-16-2000	Denker et al.
<i>TM</i>	2	US-6,035,104 A	03-07-2000	Zahariev
<i>TM</i>	3	US-5,812,671 A	09-22-1998	Ross, Jr.
<i>TM</i>	4	US-5,642,420 A	06-24-1997	Kuroda et al.
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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup>
<i>TM</i>	5	WO 98 58332 A1	12-23-1998	Telefonaktiebolaget LM Ericsson	
<i>TM</i>	6	WO 97 41661 A2/A3	11-06-1997	Motorola Inc.	

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS					
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TM	7	Herfert, M., "Security-Enhanced Mailing Lists" <u>IEEE Network</u> , IEEE Inc., New York, US, Vol. 11, No. 3, May 1, 1997, pages 30-33.			

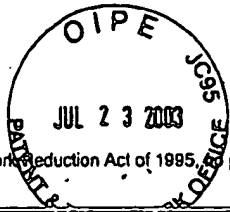
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		Application No.	09/887,157		
		Filing Date	June 21, 2001		
		First Named Inventor	Eng-Whatt Toh		
		Art Unit	2132		
		Examiner Name	Gilberto Barron, Jr.		
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Examiner Initials*	Cite No. <sup>1</sup>	Document No. Number - Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
TH	1	US-5,850,519	12-15-1998	Vazana
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